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PATENT APPLICATION

CP8722  
E. Chasen  
2-2-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application Of:

James D. Redmond, et al.

Examiner : M. Smith

Art Group No.: 3722

8 / Disclos  
Statement

Serial No. : 09 / 090, 067

Filed : June 03, 1998

For : IDENTIFICATION DOCUMENT WITH  
DOCUMENT SPECIFIC REDUCED  
SCALE PRINTING

**INFORMATION DISCLOSURE STATEMENT**

Honorable Assistant Commissioner Of Patents  
Washington, D.C. 20231

January 18, 2000

**ATTENTION: BOX DD**

Dear Sir:

The following information is submitted in accordance with 37 CFR 1.97 and 1.98. The references which it is desired to make a record are listed on the accompanying Form PTO-1449, and copies are enclosed.

This Information Disclosure Statement is being submitted pursuant to 37 CFR 1.97(c)(1) before the mailing date of a Final Rejection or Notice of Allowance, and with Check No. 10761 in the amount of \$240.00 for the fee as set forth in 117(p).

Pursuant to 37 CFR 1.98(a)(3), since one of the references filed herewith is not in the English language, a statement of the relevance of this reference and a partial translation as required is included below.

**French Patent (440.078)** As described in the title, abstract and specification, this patent discloses: a process for securing documents such as bonds stocks banknote etc. from theft, loss or fire etc by making a reduced sized copy of the document. To prevent loss of value a duplicate miniature is created as part of the original document and then separated. Both copies are required for redemption.

**PARTIAL TRANSLATION**

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3100 MAIL ROOM



PARTIAL TRANSLATION

FRENCH REPUBLIC  
NATIONAL OFFICE OF INDUSTRIAL PROPERTY  
PATENT

XVIII. Supplies for the office, education, mass use

N° 440.078

1. Office supplies and educational material

**Process to protect from theft, fire, loss, etc., securities, stocks, bonds, banknotes, etc.**  
Mr. Emile POITEL, residing in France (Seine district)

Submitted on January 27, 1912

Accepted on April 24, 1912 - Published on July 01, 1912

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TC 3750 MAIL ROOM

The object of the present invention is a process to protect from theft, fire, and loss, securities, stocks, bonds, banknotes, etc. This process consists mainly of attaching a facsimile on a very reduced scale, for example  $1/100^{\text{th}}$  or  $1/150^{\text{th}}$  the dimensions of the title, to the stock, bond, bank note, etc, [facsimile] which can be made, in advance, to be an integral part of the title, in a corner or along a border of the title, and be printed on the title itself, or be attached by glue, staple, etc., to the securities already emitted or to be emitted.

Shown on the attached drawing, as an example, is a property bond of the Real Estate Credit Bank, bearing a reduced facsimile, to be used as a certificate of origin and of ownership.

As one can see on the drawing, bond A carries in its upper left corner a reduced facsimile a of the bond, with exactly the same key information as the bond, and in particular the same number and all the same signatures as those on the title.

Corner a can be linked to the title by perforation, or can be made on the same sheet as the title.

Here is how this process allows one to get the result stated at the beginning of this description.

It will be understood that banks will be able to proceed with any deal only on the specific condition that one produces not only the title, but also the reduced facsimile of that title. To protect himself from theft, fire, or loss of the title, the owner will need to detach the facsimile from the title, and put it somewhere else, or he could even take it in his wallet when he travels.

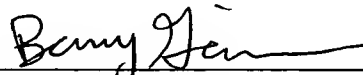
Consequently, if the title or the facsimile is stolen, the thief will find himself unable to deal the stock or bond, since he could not produce both the title and the facsimile.

In case of fire or loss of the facsimile or of the title, the owner of the stock or bond could always return to the Company the facsimile or the title, to get a duplicate of the title.

It is respectfully requested that each of the documents listed on the attached form PTO-1449 be considered and made of record in the above-referenced application.

Should any additional fee relating to the submission of this Information Disclosure Statement be required, the Commissioner is authorized to charge the additional fees to Deposit Account, No. 16-2195. Please credit any overpayments to the Deposit Account.

Respectfully submitted,



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Agent for the Applicants  
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In Re Application Of:

James D. Redmond, et al.

Examiner : M. Smith

Art Group No.: 3722

Serial No.: 09/090,067

Filed : June 03, 1998

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SCALE PRINTING

**CERTIFICATE OF MAILING**

Honorable Assistant Commissioner Of Patents  
Washington, D.C. 20231

Dear Sir:

This is to certify that on **January 18, 2000** in connection with the above-identified patent application, an Information Disclosure Statement, PTO Form 1449, copies of documents, Check No. 10761 in the amount of \$240.00 and a postcard were deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to:

Honorable Assistant Commissioner Of Patents  
Washington, D.C. 20231

Respectfully submitted,

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